

P24453.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Only for new nonprovisional applications under 37 CFR 1.53(b)

16699
U.S. PTO

Attorney Docket No.

P24453

Total Pages

Inventor(s) or Application Identifier:

Shuichi TAKEUCHI, Koichi MARUYAMA, and Daisuke KOREEDA

Title: OPTICAL SYSTEM OF OPTICAL PICK-UP

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
PO Box 1450
Alexandria, VA 22313-1450

22264
10/7/61318
U.S. PTO

012204

APPLICATION ELEMENTS**ACCOMPANYING APPLICATION PARTS**

<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>105</u>] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>21</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>4</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p>	<p>9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input checked="" type="checkbox"/> Figure of Drawing to be published: <u>1</u></p> <p>17. <input checked="" type="checkbox"/> Foreign priority claimed a. <input checked="" type="checkbox"/> Claim of Priority b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b) (2) (B) (i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>19. <input checked="" type="checkbox"/> Assignee: <u>PENTAX Corporation, of Tokyo, Japan</u></p> <p>20. <input checked="" type="checkbox"/> Other: <u>Cover Letter Regarding Executed Application</u></p> <p>_____ _____ _____ _____</p>
--	--

21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 C.F.R. 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior Application No. /, filed _____.
Prior application information: Examiner: _____ Group Art Unit: _____

Address all future correspondence to **Customer No. 7055** at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

1/21/04

Date

*Bruce H. Bernstein, Reg. No. 29,027
Signature 33,329*

Bruce H. Bernstein, Reg. No. 29,027
Typed or Printed Name

FEE TRANSMITTAL

Complete if Known			
Application Number		Not Yet Assigned	
Filing Date		Concurrently Herewith	
First Named Inventor		Shuichi TAKEUCHI et al.	
Group Art Unit		Unassigned	
Examiner Name		Unassigned	

TOTAL AMOUNT OF PAYMENT	(\$) 810.00	Attorney Docket Number	P24453
--------------------------------	--------------------	------------------------	--------

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)		
--------------------------------------	------------------------------------	--	--

1. The U.S Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089

Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)) Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) Applicant Claims Small Entity Status See 37 CFR 1.27.

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION (fees effective 10/01/03)

1. FILING FEE

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee <u>770.00</u>
1002	340	2002	170	Design filing fee <u> </u>
1003	530	2003	265	Plant filing fee <u> </u>
1004	770	2004	385	Reissue filing fee <u> </u>
1005	160	2005	80	Provisional filing fee <u> </u>

SUBTOTAL (1) (\$) 770.00

2. CLAIMS

Total Claims	19	-20=	Extra 0	Fee from below x 18	= Fee Paid 0.00
Independent	1	-3=	0	x 86	= 0.00
Claims					
Multiple Dependent Claims			0	x 290	= 0.00

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202	18	2202	9
1201	86	2201	43
1203	290	2203	145
1204	86	2204	43
1205	18	2205	9

SUBTOTAL (2) (\$) 0.00

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for reexamination
1804	920*	1804	920*	Requesting publication of SIR
		1805	1,840*	Prior to Examiner action
		1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for response within 1st month
1252	420	2252	210	Extension for response within 2nd month
1253	950	2253	475	Extension for response within 3rd month
1254	1,480	2254	740	Extension for response within 4th month
1255	2,010	2255	1,005	Extension for response within 5th month
1401	330	2401	165	Notice of Appeal
1402	330	2402	165	Filing a brief in support of an appeal
1403	290	2403	145	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
		1452	110	Petition to revive unavoidably abandoned application
		1453	1,330	Petition to revive unintentionally abandoned application
		1501	1,330	Utility issue fee (or reissue)
		1502	480	Design issue fee
		1503	640	Plant issue fee
		1460	130	Petitions to the Commissioner
		1807	50	Petitions related to provisional applications
		1806	180	Submission of IDS
		8021	40	Recording each patent assignment per property (times number of properties)
		1809	770	40.00
		1809	2809	Filing a submission after final rejection (37 CFR 1.129(a))
		1810	770	385
		1810	2810	For each additional invention to be examined (37 CFR 1.129(b))
		1801	770	385
		1801	2801	Request for Continued Examination (RCE)
		1802	900	Request for expedited examination of a design application

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) (\$) 40.00

*Reduced by Basic Filing Fee paid

SUBMITTED BY	Complete (if applicable)		
---------------------	--------------------------	--	--

Typed or Printed Name	Bruce H. Bernstein	Reg. Number	29,027
Signature	<i>Leslie H. Bernstein</i>	Date	1/21/04

Deposit Account User ID

33329